UNIVERSITY OF WINCHESTER

ETHICS FORM 3

FACULTY REVIEW

GUIDELINES

This form is for staff and doctoral students. It will help you set out the ethical aspects of your project that need to be reviewed. Before completing it, you need to:

1. Read *The University Research Ethics Policy*.
2. If you are a student, discuss the ethical aspects of your project with your supervisor.

It is your responsibility to follow the University's Policy on the ethical conduct of research and to follow any relevant academic guidelines or professional codes of practice pertaining to your study when answering these questions. This includes providing appropriate information sheets and consent forms and ensuring confidentiality in the storage and use of data.

The questions in this proforma are intended to guide your reflection on the ethical implications of your research. Explanatory notes and further details can be found in the Policy document.

If any aspect of your project changes during the course of the research, you must notify the Chair of UREC.

SECTION 1

YOUR DETAILS			
1.1.	Your name**: Imogen Buttimore**		
1.2.	Your department: Digital Technologies/Design		
1.3.	Your Faculty: Marketing, Design and Immersive Technologies		
1.4.	Your status**:**		
		☒ Undergraduate Student	☐ Staff (Professional Services)
		☐ Taught Master	☐ Staff (Academic)
		☐ Research Degree Student	☐ Other (please specify below)
1.5.	Your university email address**: i.buttimore.19@unimail.winchester.ac.uk**		
1.6.	Your telephone number**: 07748613650**		
	<u>For doctoral students only</u>:		
1.7.	Your degree programme**:**		
1.8.	Your supervisor's name**:**		
1.9.	Your supervisor's department**:**		
1.10.	Your supervisor's email**:**		

SECTION 2

YOUR PROJECT			
2.1.	Project title**: Final Project – Health Hub**		
2.2.	Start date**:** 23/1/2024		
2.3.	Expected completion date**:** 13/5/2024		
2.4.	Expected location of data collection**:** (*e.g. school, workplace, public place, University premises etc*.)		
2.5.	Has funding been sought for this research**?**		
		☐ Yes	☒ No
2.6.	If so, where have you applied for funding?		
2.7.	Has the funding been granted?		
		☐ Yes ☒ No	☐ Pending
2.8.	Is the research collaborative? (*e.g. co-investigators from another institution, at or with another organisation or colleagues in another department*)		
		☐ Yes	☒ No
		If yes, which?	
2.9.	Is Disclosure and Barring Service clearance required for your study? *It is your responsibility to contact the Disclosure and Barring Service (DBS) to confirm whether or not clearance is needed prior to commencing recruitment or data collection. More information here*		
		☐ Yes	☒ No
2.10.	Is a risk assessment required? *It is your responsibility to contact the Health and Safety Office at the University to confirm whether or not a risk assessment is required prior to commencing recruitment or data collection.*		
		☐ Yes ☒ No	☐ Pending
2.11.	Will your research be informed by guidelines from a professional association or specific, agreed standards of practice?		
		☐ Yes	☒ No
		If yes, which?	

SECTION 3

PROJECT DESCRIPTION

Please provide a brief description of your project in non-technical language (between 500-1000 words). This should include details of the research rationale, aim(s), research question(s), context (linking to some relevant literature), and methods (including details of participants, data collection (including examples /descriptions of any audio or visual stimuli to be presented to participants), data analysis) to be used. You should state any ethical issues that you have identified and how these will be dealt with. This overview should contain sufficient information to acquaint the reader with the principal features of the proposal. A copy of the full proposal may be requested if further information is deemed necessary.

Please use this section to list documentation that may be relevant to your application and append it to the submission (e.g. consent forms, information sheets, questionnaires etc.).

For this project, I will be designing the interface of a mobile health app which will combine the features of a physical and mental health application together. I chose this topic because I have a keen interest in user experience and app design, as well as wanting to design an app that will be able to help many users. My research question is 'How does the design and development of mobile apps and mobile features have the ability to save a user's life?" The aim of this project is to shed light on how the design and development of mobile apps and features can save a life. The objectives are to investigate the role of mobile applications in enhancing user safety and health outcomes, to explore other life saving features within mobile devices and to discover potential pitfalls of mobile health applications.

For methods, I will be conducting my primary research online, through the method of a survey. This is the most effective type of research for this project as I will be able to collect a variety of results from a range of individuals. I will use the secondary research conducted from my research proposal to create a suitable range of questions for my survey. I will include several open and closed ended questions to receive a range of results that can be applied to my interface design. I will be asking questions along the lines of, 'do you believe that mobile health apps have the potential to save lives in emergency situations?' As well as 'have you ever used a mobile health app in a critical health situation?' I will aim to post my survey online through Google Forms as everyone will be able to access it with no issues. The survey will be anonymous and will be posted online on websites such as Facebook and LinkedIn to engage with many respondents. The survey will be more aimed at those with any physical or mental health issues due to the nature of my project. My secondary research was completed in my first semester, through a detailed research proposal.

I will keep strict anonymity of my participants by keeping all surveys anonymous so no one's identity is at risk, or I will change the participants' name. I will keep all information gathered confidential by keeping the information in secure files that only I will have access to as the researcher. When it comes to data security, I will destroy all data after my project has been completed and marked. If I have any data containing the names of any participants, I will keep this data in a password protected file to keep it secure. To receive informed consent from my participants, I will include a section on the survey in which they agree to participate in and that they are free to withdraw at any time. I believe that there are no risks to me or the participants when it comes to the research I will undertake.

SECTION 4

REFINING THE LEVEL OF ETHICS REVIEW REQUIRED

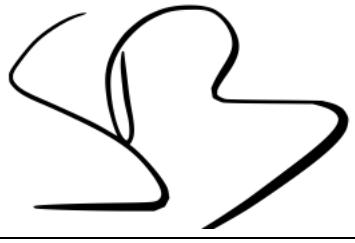
Please mark with an ☒ as appropriate		**YES**	**NO**
1	Does the research involve members of the public in a research capacity as co-researchers? (I.e. as in participant research where involvement extends beyond data collection)	☐	☒
2	Is there a risk of over-disclosure that may put the participants at risk or cause them any anxiety?	☐	☒
3	Will tissue samples (including blood) be obtained from participants?	☐	☒
4	Will the study require the co-operation of a gatekeeper for initial access to participants? (E.g. to students at school, to members of self-help group.)	☐	☒
5	Is the right to withdraw from the study withheld at any time, or not made explicit?	☐	☒
6	Is there any reason participants may feel obliged to participate in the study against their will?	☐	☒
8	Will the research involve administrative or secure data that requires permission from the appropriate authorities before use?	☐	☒
10	Will financial inducements (other than reasonable expenses and compensation for time) be offered to participants?	☐	☒
11	Are there payments to researchers /participants that may have an impact on the objectivity of the research?	☐	☒
12	Is there any cause for uncertainty as to whether the research will fully comply with the requirements of the General Data Protection Regulation (GDPR) (2018)?	☐	☒
13	Does any part of the project breach any codes of practice for ethics in place within the organisation in which the research is taking place?	☐	☒
14	Are drugs, placebos or other substances (e.g. food substances, vitamins) to be administered to the study participants? Please note: for fast track review, it is expected that the study will not involve invasive, intrusive or potentially harmful procedures of any kind.	☐	☒
15	Is pain or more than mild discomfort likely to result from the study?	☐	☒
16	Could the study induce psychological stress or anxiety or cause harm or negative consequences beyond the risks encountered in normal life? (E.g. involve prolonged or repetitive testing.)	☐	☒
17	Does the project pose any potential or actual conflict(s) of interest for the researcher and /or stakeholders?	☐	☒

If you answer <u>YES</u> to *any* of these questions, please use the next section to indicate which question you have said yes to, describe the ethical issue in the context of your study and how you will address it. If you have answered <u>NO</u> to all questions, complete section 6.

SECTION 5

ADDITIONAL INFORMATION AND AMENDMENTS
Use this space to address ethical issues highlighted by the checklist in section 4, or to amend an original submission.

SECTION 6

DECLARATION	
I have read and understood the University of Winchester Research Ethics Policy and confirm that adequate safeguards in relation to the ethical issues raised by this research can and will be put in place. I am aware of and understand University procedures regarding Health and Safety. I understand that the ethical aspects of this project may be monitored by the University Research Ethics Committee. I understand my responsibilities as a researcher as described in the University of Winchester Research Ethics Policy. I declare that the answers above accurately describe the research as presently designed and that a new application will be submitted should the research design change in a way which would alter any responses given in Form 1 or here.	
☒ I confirm that if a Risk Assessment is required I will complete it and have it co-signed by my Supervisor or Head of Department before data collection takes place.	
☒ I confirm that, if DBS clearance is required for my project, then I will seek it before the start of my project.	
☒ I confirm that my research does not include risks that might cause it to be excluded from coverage by the University's insurers.	
☒ I confirm that I have appropriate insurance for this research.	
Researcher's signature: Imogen Buttimore	Date: 10/2/2024
In addition, for **students** (research): The student has the skills to carry out the proposed research. I undertake to monitor the student's adherence to the relevant research guidelines and codes of practice.	
Supervisor's signature: Samuel Barker 	Date: 19/03/2024

Please submit this form along with Form 1 to your nominated Ethics Lead.

Please remember to append any forms or documents that may be relevant to your application (e.g. consent form, information sheet, questionnaire(s) etc.). Your form cannot be considered unless it is submitted with the required supporting documentation. Omitting to do so will delay the ethics review process.